I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Correctissioner for Patents, P. O. Dax 1450, Alpotandria, VA 22113/1150 April 2004

Richard S. Echer 11, 1966.
Registration to Signature of Attorney or Agent

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1614

P&G Case 7770

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In the application of

Todd Laurence Underiner et al.

Serial No. 10/070,201

Filed 03/04/2002

: Confirmation No. 9266

Group Art Unit 1614

: Examiner

For Enzyme Inhibitors Broad

### **INFORMATION DISCLOSURE STATEMENT**

Commissioner for Patents

P. O. Box 1450

Alexandria, VA 22313-1450

Dear Sir:

Pursuant to 37 C.F.R. §§ 1.56, 1.97 and 1.98, record is being made on the attached Form PTO/SB08 of documents which the Patent Office may wish to consider in connection with examination of the above-identified patent application. It is respectfully requested that the cited documents be carefully considered by the Examiner and made of record in this case. As provided in §1.97(g), no representation is made or intended that a thorough art search was made. As provided in 37 C.F.R. §1.97(h), this Information Disclosure Statement does not constitute an admission of any kind, and specifically is not an admission that the documents listed on attached form PTO/SB08 are, or are considered to be, material to the patentability of the above-identified patent application, as defined in 37 C.F.R. §1.56(b).

1. [] 37 C.F.R. §1.97(b)(1) - U.S. Direct (use when filing IDS with nonprovisional patent application, or with Request for Continued Examination (RCE); or within 3 months of filing a nonprovisional patent application)

This information disclosure statement, submitted under 37 C.F.R. §1.97(b)(1), is being filed with the patent application, with a Request for Continued Examination or within three months of the filing date of a national application. Therefore, no fee is believed to be due.

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## 2. [X] 37 C.F.R. §1.97(b)(3) - (use when filing IDS more than 3 months after filing a nonprovisional patent application, but prior to receipt of first Office Action)

This information disclosure statement is being submitted under 37 C.F.R. §1.97(b)(3). Applicants have not received an Office Action on the merits in the present application. Therefore, no fee is believed to be due. However, in the event that this paper is crossing in the mail with a first Office Action on the merits, authorization is hereby given to charge the required fee pursuant to 37 C.F.R. §1.97(c) and 37 C.F.R. §1.17(p) to Deposit Account No. 16-2480 in the name of The Procter & Gamble Company. A duplicate of this letter (or a fee transmittal form) is enclosed to facilitate charging of the fee, if necessary.

# 3. [] 37 C.F.R. §1.97(b)(4) - (use when filing IDS prior to receipt of first Office Action after the filing of a Request for Continued Examination (RCE) under §1.114)

This information disclosure statement is being submitted under 37 C.F.R. §1.97(b)(4). Applicants have not received a first Office Action after filing a Request For Continued Examination (RCE). Therefore, no fee is believed to be due. However, in the event that this paper is crossing in the mail with a first Office Action on the merits, authorization is hereby given to charge the required fee pursuant to 37 C.F.R. §1.97(c) and 37 C.F.R. §1.17(p) to Deposit Account No. 16-2480 in the name of The Procter & Gamble Company. A duplicate of this letter (or a fee transmittal form) is enclosed to facilitate charging of the fee, if necessary.

# 4. [] 37 C.F.R. §1.97(c) with fee payment - (use when filing IDS after receipt of first Office Action, and before receipt of Final Office Action, Notice of Allowance, or an action that otherwise closes prosecution)

This information disclosure statement is being submitted under 37 C.F.R. §1.97(c). Applicant(s) have not received a final action under 37 C.F.R. §1.113, a notice of allowance under 37 C.F.R. §1.311, or an action that otherwise closes prosecution in the application (e.g., *Ex parte Quayle*) as of the date of this submission. Applicant(s) elect to pay the fee set forth in 37 C.F.R. §1.17(p). Please charge the fee set forth in 37 C.F.R. §1.17(p) to Deposit Account Number 16-2480 in the name of The Procter & Gamble Company. A duplicate copy of this letter (or a fee transmittal form) is enclosed to facilitate the charging of the fee.

5. [] <u>Information to be Considered with Continued Prosecution Application</u> (CPA) Filing (use when filing IDS with a Continued Prosecution Application (CPA) for <u>Design Case</u>). This information disclosure statement is being filed with a Continued Prosecution Application (CPA) filed under 37 C.F.R. 1.53(d).

### ADDITIONAL ITEMS TO BE NOTED BY THE EXAMINER:

(1) (For use with applications filed prior to or on June 30, 2003.) Copies of the
cited documents are enclosed.
OR
[X] (2) (For use with applications filed after June 30, 2003.) In accordance with 37
C.F.R. §1.98(a)(2), Applicants are submitting copies of foreign patent documents and non-
patent literature.
OR
[] (3) All of the cited references were previously cited by or submitted to the USPTO in
prior application Case No, U.S. Patent Application Serial No, filed Applicants
claim priority to said application under 35 U.S.C. §120. Accordingly, copies of previously
submitted references are not provided with this Statement, pursuant to 37 C.F.R. §1.98(d). It is
respectfully requested that the cited documents be carefully considered by the Examiner and
made of record in this case.
OR
(4) Copies of all said documents, except Cite Numbers, were submitted
and considered in parent application U.S. Patent Application Serial No, filed
Applicant(s) claim priority to said application under 35 U.S.C. §120. Accordingly,
copies of previously submitted references are not provided with this Statement, pursuant to 37
C.F.R. §1.98(d). Copies of references not previously submitted are enclosed. It is respectfully
requested that the cited documents be carefully considered by the Examiner and made of record
in this case.
[] (5) Pursuant to 37 C.F.R. §1.98(c), a concise explanation of the relevance of each cited
reference that is not in the English language is provided.
reference that is not in the English language is provided.
ra
[] (6) Applicants also respectfully request the Examiner to consider and make of record
the co-pending applications listed on the attached page.
Additional information is attached.
Respectfully submitted,
· KAX Yahl
Richard S. Echler
Date: April 12, 2004 Attorney or Agent for Applicant(s)
Customer No. 27752 Registration No. 41,006
(IDS.doc) (Last Revised 10/10/03) (513) 622-1973

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Substitute for form 1449A/PTO	COMPLETE IF KNOWN		
	Application Number	10/070,201	
INFORMATION DISCLOSURE	Confirmation Number	9266	
STATEMENT BY APPRICANT	Filing Date	03/04/2002	
(use as many sheets as necessary)	First Named Inventor	Todd Laurence Underiner	
Mak 5 0 John S	Group Art Unit	1614	
Now 1 2 2	Examiner Name		
EET 1 of 2	Attorney Docket Number	7770	

## S. PATENT DOCUMENTS

EXAMINER INITIALS*	Cite No. <sup>1</sup>	DOCUMENT NUMBER  Number - Kind Code <sup>2</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines Where Relevant Passages or Relevant Figures Appear
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### **FOREIGN PATENT DOCUMENTS**

EXAMINER INITIALS*	Cite No.1	FOREIGN PATENT DC  Country Code <sup>3</sup> Number <sup>4</sup>	CUMENT  Kind Code <sup>5</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines Where Relevant Passages or Relevant Figures Appear	r⁵
	1	WO 99/34786 A2		07/15/1999	Mandeville et al.		
•	2	WO 99/45974 A1		09/16/1999	Rourke et al.		
•	3	WO 99/45973 A1		09/16/1999	Roe et al.		
`	4	WO 99/46316 A2		09/16/1999	McIver et al.		
	5	WO 01/17501 A1		04/15/2001	Underiner et al.		
EXAMINER					DATE CONSIDERED	1	<u> </u>

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance

and not considered. Include copy of this form with next communication to applicant.

Applicant's unique citation designation number (optional). 

See Kind Codes of U.S. Patent Documents at <a href="https://www.uspto.gov">www.uspto.gov</a> or MPEP 901.04. 

Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 

For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document.

This is a recommunication of the patent document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. 

Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 37 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

(Revised for P&G use 10/8/2003)

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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Substitute for form 1449A/PTO

COMPLETE IF KNOWN

Application Number

10/070,201

INFORMATION DISCLOSURE

Confirmation Number

9266

(use as many sheets as necessary)

SHEET 2 of 2

COMPLETE IF KNOWN			
Application Number	10/070,201		
Confirmation Number	9266		
Filing Date	03/04/2002		
First Named Inventor	Todd Laurence Underiner		
Group Art Unit	1614		
Examiner Name			
Attorney Docket Number	7770		

### NON PATENT LITERATURE DOCUMENTS

EXAMINER INITIALS*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published		
	6	JARVEST et al., "Potent Selective Thienoxazinone Inhibitors of Herpes Protease", Bioorganic & Medicinal Chem. Letters, 1997, Vol. 7, No. 13, pp. 1733-1738.		
	7	TAKERKART et al., "Preparation and Properties of Organiophilic Trypsin Macro-Inhibitors: Diamidino-α,ω-Diphenylcarbamyl-Poly(Ethylene Glycol)", FEBS Letters, 1974, Vol. 42, No. 2, pp. 214-220.		
	8	PINTO et al., "Inhibition of Human Cytomegalovirus Protease by Enedione Derivatives of Theino[2,3-d]Oxazinones Through a Novel Dual Acylation/Alkylation Mechanism", <u>Bioorganic &amp; Medicinal Chem.</u> <u>Letters</u> , 1999, Vol. 9, No. 3, pp. 449-452.		
EXAMINE	₹	DATE CONSIDERED		

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 37 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.** 

PTO-SB08 (Revised for P&G use 10/8/2003)